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STIPULATION AND [PROPOSED] ORDER RE SERVICE OF PROCESS, ETC. C-07-6198 MHP

Case 3:07-cv-06198-MHP

WHEREAS, Plaintiffs Thomas Weisel Partners LLC and Thomas Weisel International Private Limited (collectively "TWP") filed the above-captioned action on December 6, 2007;

WHEREAS, on January 15, 2008, TWP filed a First Amended Complaint;

WHEREAS, counsel for Defendants BNP Paribas and BNP Paribas Securities (Asia) Limited ("BNPP Asia") has informed TWP's counsel that the BNP Defendants intend to file a motion to dismiss the First Amended Complaint, in part, on the basis of a lack of personal jurisdiction and forum non conveniens;

WHEREAS, these parties agree that the Court should hear and resolve the abovementioned dismissal arguments before considering challenges to the sufficiency of the claims alleged in the First Amended Complaint;

NOW, THEREFORE, TWP, BNP Paribas and BNPP Asia HEREBY STIPULATE AND AGREE as follows:

- 1. BNP Paribas and BNPP Asia, through their authorized counsel, accept service of the Summons, Complaint, First Amended Complaint, and any and all related papers required to be served with the Complaint and First Amended Complaint, and waive any objection to the sufficiency either of the process served upon them or the service of process. *See* Fed. R. Civ. Proc. 12(b)(4) and 12(b)(5).
- 2. BNP Paribas and BNPP Asia will file their anticipated motion to dismiss for lack of personal jurisdiction and forum non conveniens (the "Jurisdiction Motion") on or before March 7, 2008. Absent a need to delay briefing in order to complete jurisdictional discovery (see Paragraph 3), TWP will file and serve its opposition on March 28, 2008, and BNP Paribas and BNPP Asia will file and serve their reply on April 11, 2008. The Jurisdiction Motion will be heard on April 28, 2008, or as soon thereafter as the Court's schedule permits.
- 3. If TWP undertakes jurisdictional discovery—whether by consent or leave of Court—the parties will meet and confer in good faith to consider whether it is necessary to revise or extend the briefing and hearing schedule set forth above.

- 4. Motions challenging the sufficiency of the claims alleged in the First Amended Complaint pursuant to Rule 12(b)(6) of the Federal Rules of Civil Procedure (the "12(b)(6) Motions") will be filed and served by either or both of these defendants no later than 14 days after the Court issues an order on the Jurisdiction Motion. Oppositions will be due 30 days thereafter, and replies will be due 15 days after the oppositions are filed. The 12(b)(6) Motions will be heard as soon thereafter as the Court's schedule permits or at another date mutually agreed upon by the parties and acceptable to the Court.
- 5. During the pendency of these motions, BNP Paribas and BNPP Asia agree to comply with all applicable rules regarding the preservation of evidence relating to this matter.
- 6. On or before March 14, 2008, counsel for the parties will confer. Plaintiffs intend to assert that such a conference is pursuant to Rule 26(f) of the Federal Rules of Civil Procedure, and to request that discovery more forward. Defendants do not agree that counsel for the parties will be meeting pursuant to Rule 26(f), and intend to argue that no discovery should be permitted until after the Court resolves the Jurisdiction Motion. Counsel for the parties will submit to the Court a written report outlining their respective positions on or before March 24, 2008. The participation of counsel for BNP Paribas and BNPP Asia in this conference and planning process will not waive any argument that may be available to BNP Paribas and BNPP Asia in the Jurisdiction Motion and the 12(b)(6) Motions.
- 7. The dates set by the Court for the filing of the Joint Case Management Conference Statement (March 21, 2008) and for the Case Management Conference (March 31, 2008) will remain as stated in the December 28, 2007 Clerk's Notice.

	TOTAL COLUMN						
	1 2 3 4 5	Dated:	February , 2007	GILBERT R. SEROTA MARK A. SHEFT MICHAEL L. GALLO HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN A Professional Corporation			
	6			By: GILBERT R. SEROTA			
	7						
	8			Attorneys for Plaintiffs THOMAS WEISEL PARTNERS LLC			
	9			and THOMAS WEISEL INTERNATIONAL PRIVATE LIMITED			
	10	Dated:					
	11		February 15, 2007	JOSEPH E. ADDIEGO, III DAVIS WRIGHT TREMAINE LLP			
	12						
RICE ROVSKI	13			LEIV BLAD CLIFFORD CHANCE			
ABKIN	14 15			By: JØSEPH E. ADDIEGO, III			
				Attorneys for Defendants BNP			
	16 17			Attorneys for Defendants BNP PARIBAS and BNP PARIBAS SECURITIES (ASIA) LIMITED			
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	19						
	20		PURSUANT TO STIPULATION, IT IS SO ORDERED				
	21		Dated: February <u>22</u> , 2008	STATES DISTRICT COL			
	22						
	23			Judge Marilyn II II ORDERED			
	24			Judge Marilyn H. H. United IT IS SO ORDERED			
	25			Marie Patel			
	26			Judge Marilyn H. Patel			
	27						
	28			RDER RE SERVICE OF PROPESSIEIC. C-07-6198 MHP			
		STIPULATION AND [PROPOSED] ORDER RE SERVICE OF PROPERTY. ETC. C-07-6198 MHP -3-					
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1 2 3	Dated: February 15, 2007		GILBERT R. SEROTA MARK A. SHEFT MICHAEL L. GALLO HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN A Professional Corporation			
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6			By: GILBERT F	F. Service by MAS. R. SEROTA		
7			Attorneys fo	r Plaintiffs VEISEL PARTNERS LLC		
8			and THOMA	MAS WEISEL ATIONAL PRIVATE		
9			INTERNAT LIMITED			
11			JOSEPH E. ADD	JECO III		
12	Dated: February , 2007			TREMAINE LLP		
HOWARD 13			LEIV BLAD CLIFFORD CHA	ANCE		
EMEROVSKI CANADY FALK & RABKIN			By:	ADDIEGO III		
Professional Corporation 15				ADDIEGO, III		
16			Attorneys fo PARIBAS a	or Defendants BNP and BNP PARIBAS		
17			SECURITIE	ES (ASIA) LIMITED		
18						
19	DIRECTION TO CTIDIT ATION IT IS SO ORDERED					
20						
21	Dated. February	, 2006				
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23	,		Judge Marilyn F United States D			
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